

# Basics of Laue lens theory and simulations

or crystallography applied to high  
energy astrophysics ...

Hubert Halloin<sup>1,2,3</sup>, Peter von Ballmoos<sup>1</sup>, Nicolas Barrière<sup>1</sup>, Gerry Skinner<sup>1</sup> et al.

<sup>1</sup>CESR, Toulouse, France

<sup>2</sup>MPE, Garching, Germany

<sup>3</sup>APC, Paris, France

# Coherent scattering in crystals

## ■ What astrophysicists thought for a long time ...

... the inability to reflect or deflect individual photons makes the **concentration of a gamma-ray beam impossible**.

A. J. Dean, *Nuclear Instruments and Methods in Physics Research* **221**, 1984

**Focusing gamma rays seems out of the question** since their wavelengths (less than 0.01 angstrom) are smaller than the distance between atoms in solids.

Giovanni F. Bignami, *Sky & Telescope*, October 1985

Higher-energy X-ray photons can pass through a lens, but since they undergo no significant deflection, **no focusing** can take place.

Gerald K. Skinner, *Scientific American*, August 1988

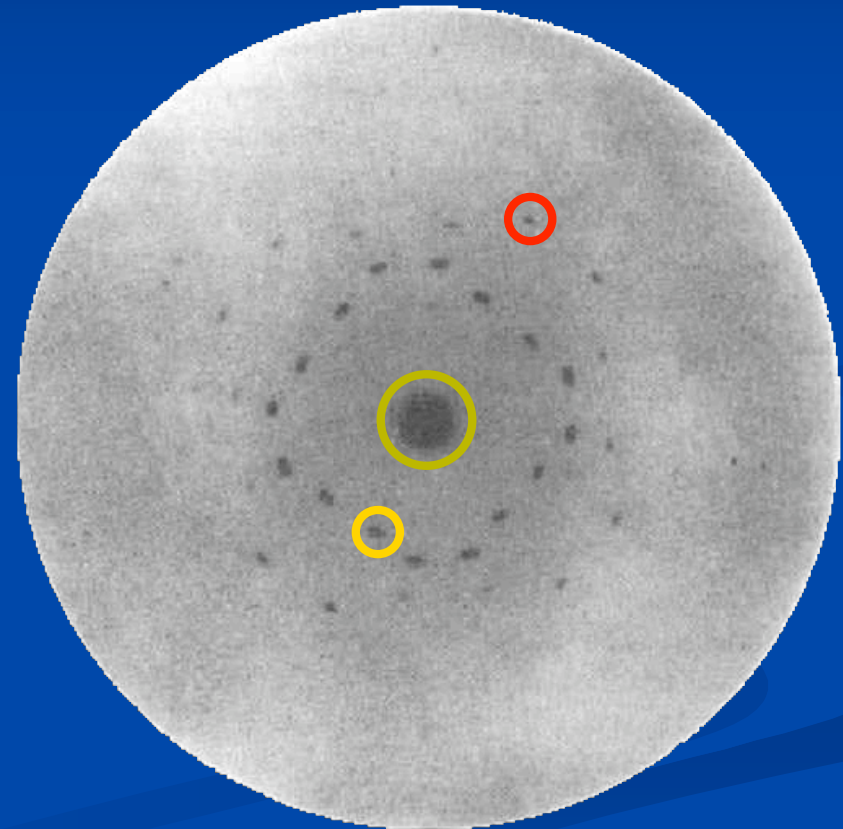
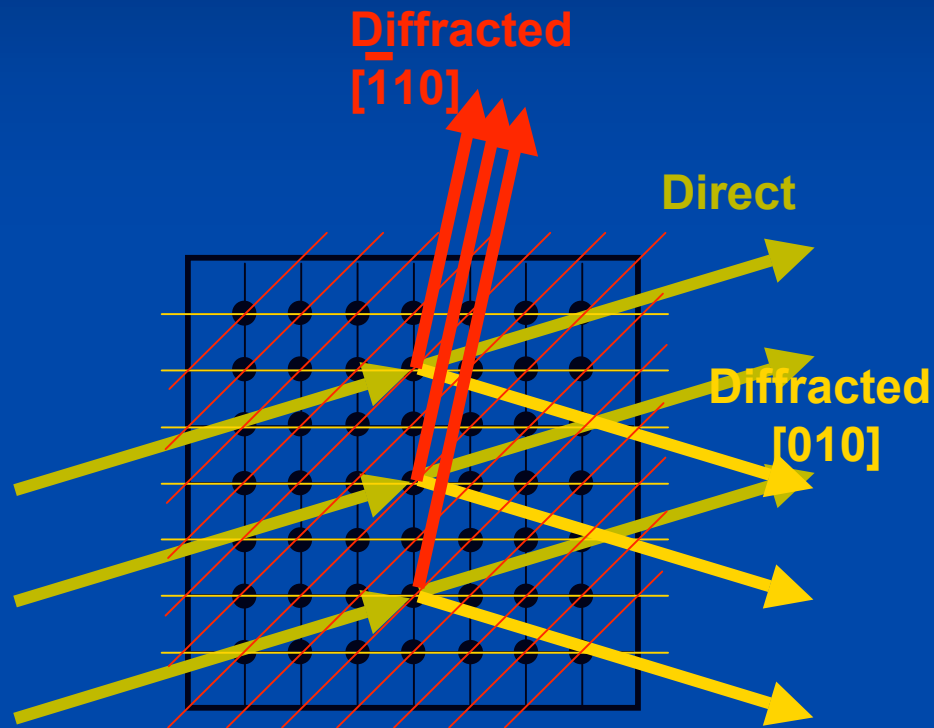
... **gamma-rays can not be focused**. They are scattered incoherently and the direction of the scattered electrons are lost.

von Ballmoos et al., *Astron. Astrophys.* **221**, 396, 1989

# Coherent scattering in crystals

- But 93 years ago ...

Laue, Friedrich and Knipping, 1912

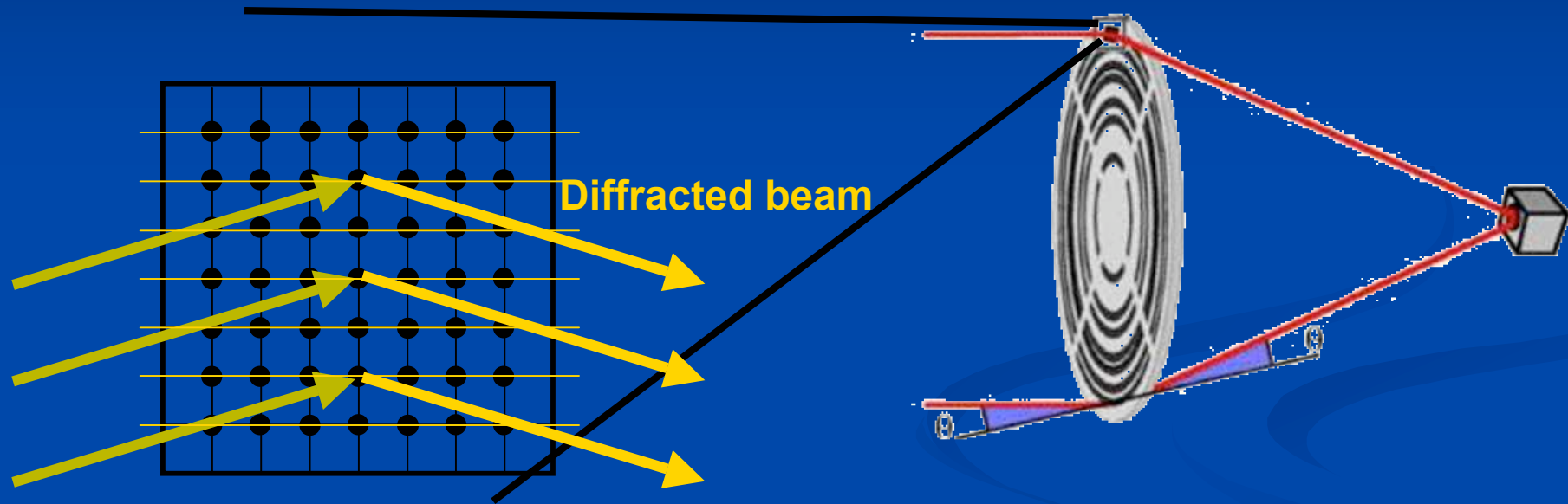


$$2d_{hkl} \sin \theta = n\lambda$$

Bragg diffraction in a crystal

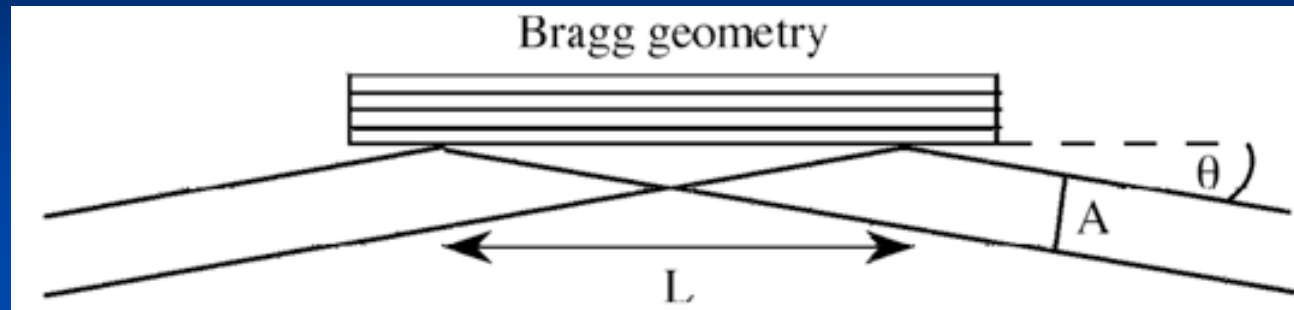
also valid for gamma-rays (small  $d_{hkl}$  and  $\theta$ ) !

# Focusing gamma-rays - principle

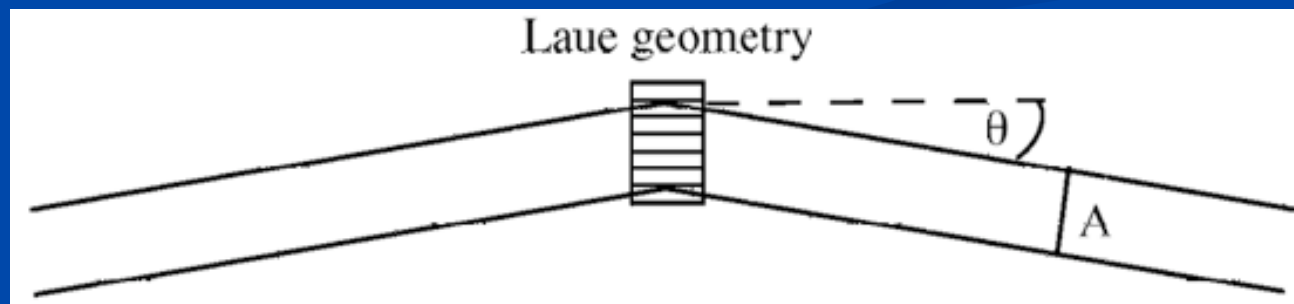


Crystals on concentric rings with varying materials, diffraction planes, etc...

# Laue vs. Bragg geometry

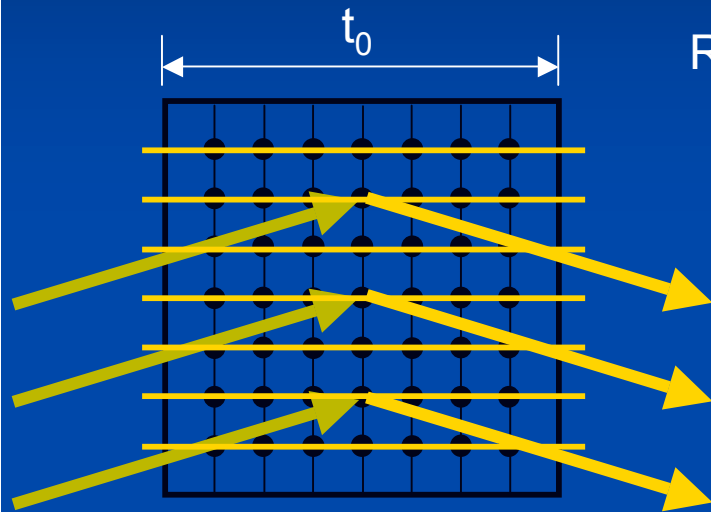


- Bragg geometry : like X-ray “supermirrors” (multilayer mirrors)
- For  $\gamma$ -rays : a 1-cm beam and an diffracted energy of 511 keV in  $\text{Ge}_{111} \Rightarrow L \sim 2.8 \text{ m} !$



- At high energy, mean free path  $> \sim$  “diffraction length”  $\Rightarrow$  Laue geometry possible (beam passing through the crystal).
- ✓ Requires to get homogeneous crystals (in volume not only surfaces).

# Laue diffraction in crystals



⇒ Small efficiency  
 ⇒ Monochromatic

Reflectivity :

$$R_m = \frac{d_{hkl}}{t_{ext}^2} t_0 f \left[ \frac{t_0}{t_{ext}} \right] e^{-\mu t_0}$$

planes distance

thickness

absorption  
 $1/\mu \gg t_{ext}$

extinction length

« Pendellösung » effect  
 $f(x \ll 1) \sim 1, f(x \gg 1) \sim 1/(2x)$

energy

$$t_{ext} \propto \frac{E V_c}{e^{-M} |F_s|}$$

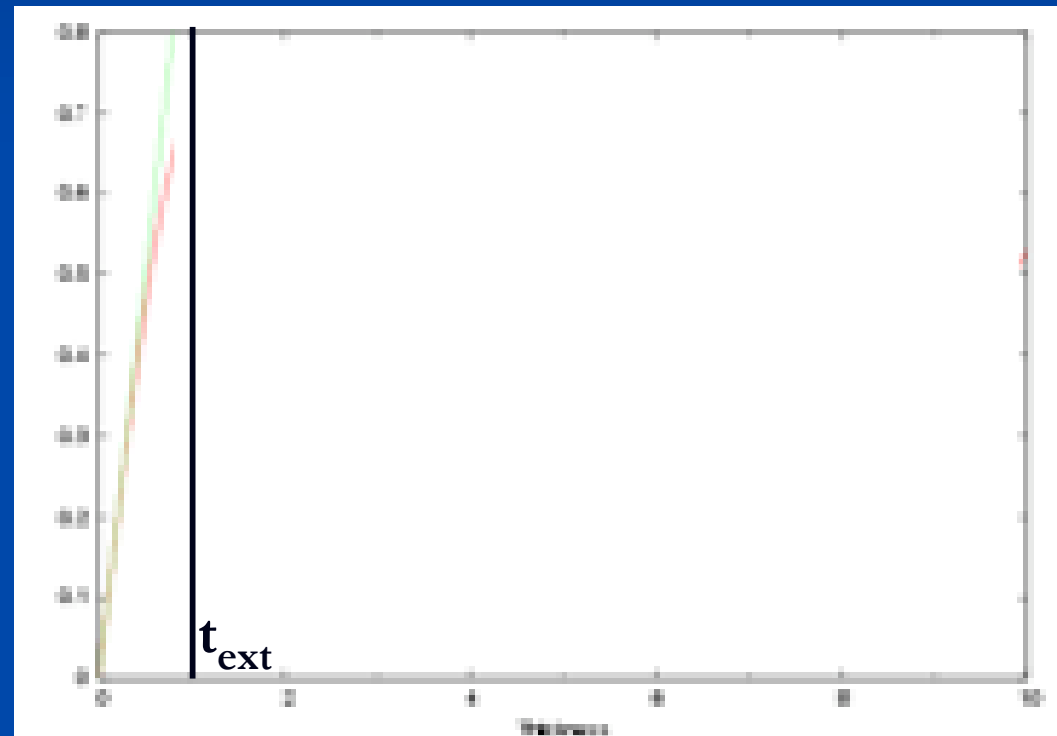
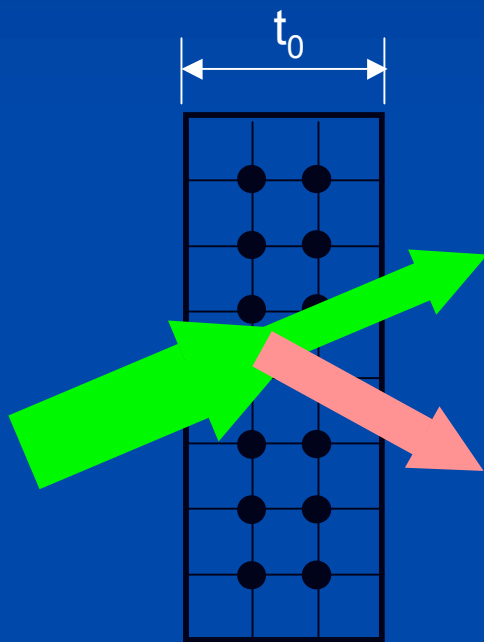
cell volume

structure factor (Z, Geom)

Debye factor (T)

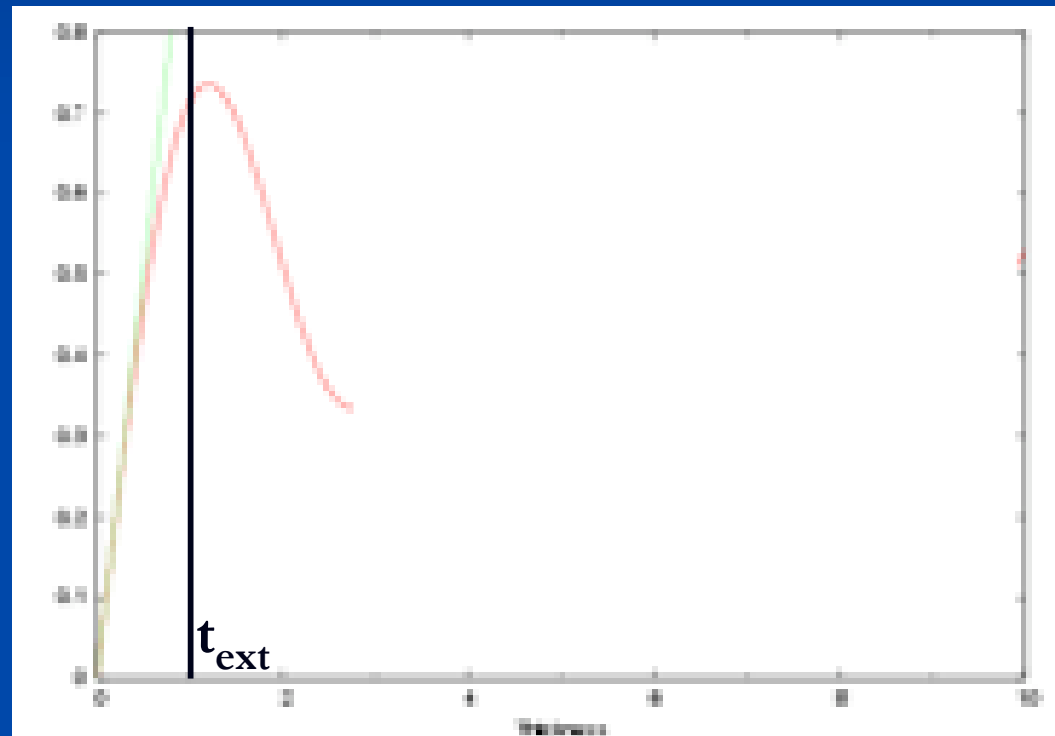
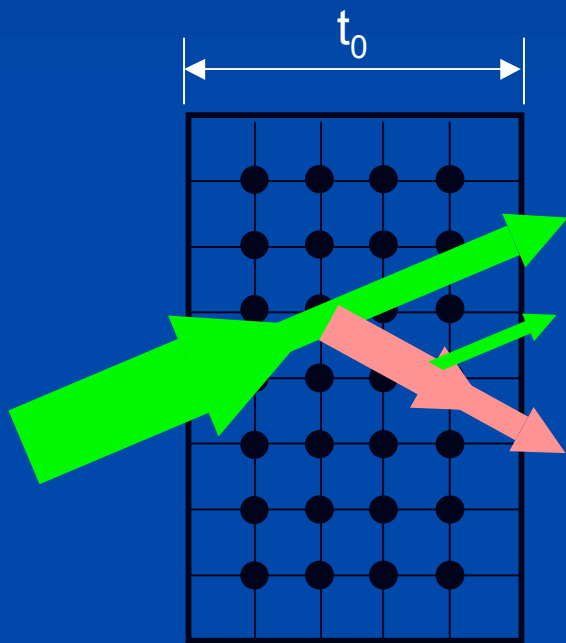
# “Pendellösung” (extinction) effect

Diffracted fraction



# “Pendellösung” (extinction) effect

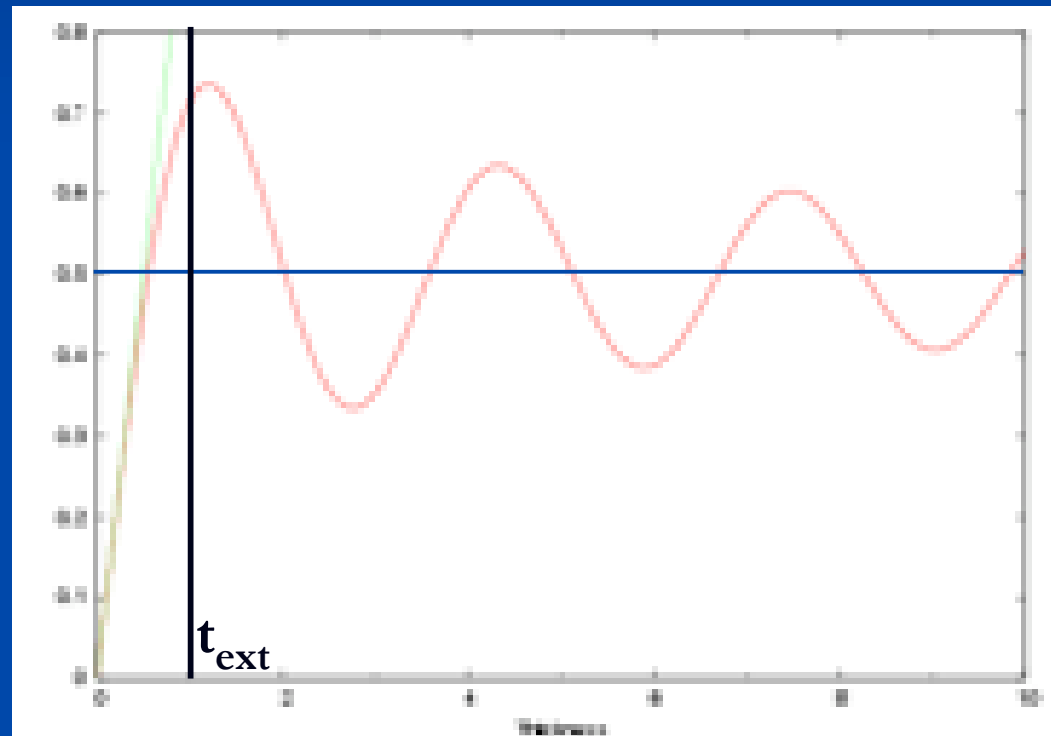
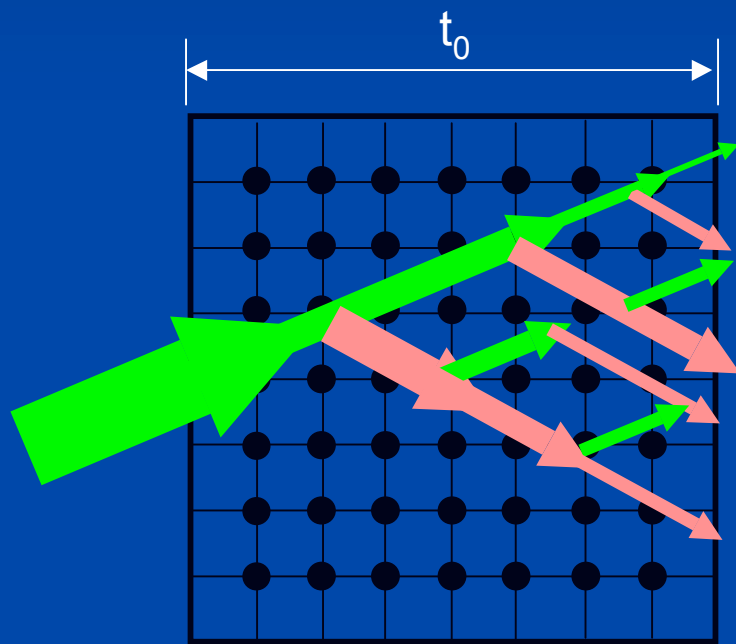
## Diffracted fraction





# “Pendellösung” (extinction) effect

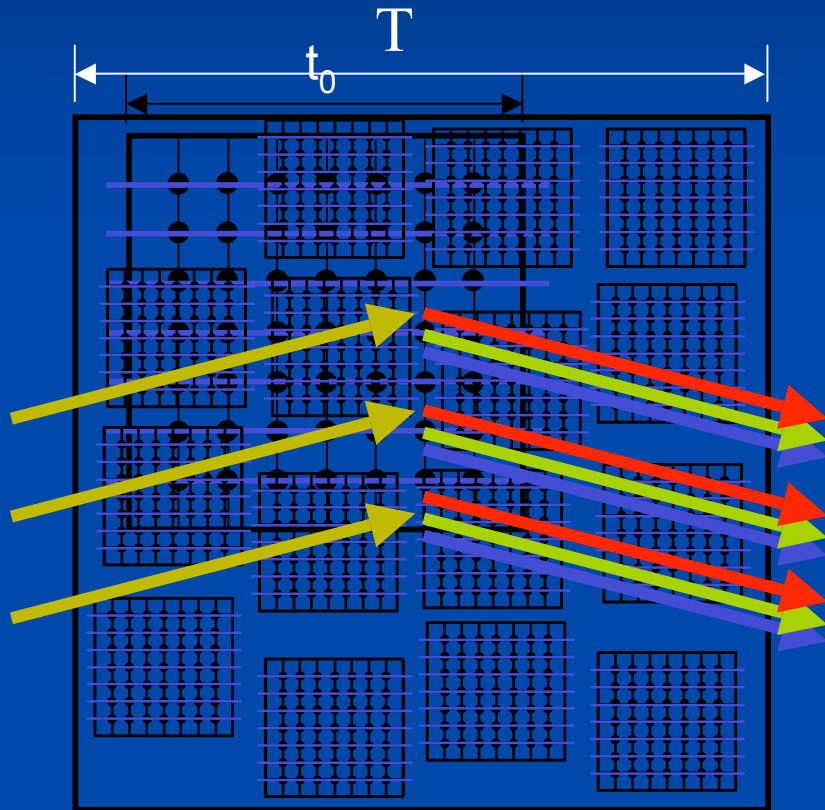
Diffracted fraction



$t_{\text{ext}} \propto E \Rightarrow$  A thick (“bad”) crystal at low energy can be thin (“good”) at high energy

# Killing the coherence ...

## Darwin model of mosaic crystals :



- Aggregate of small « perfect » crystals
- Angular spread of the crystallites (increases the bandwidth/efficiency)
- Incoherent scattering  $\Rightarrow$  sum of diffracted intensities
- $t_0 \ll t_{\text{ext}} \Rightarrow$  “perfectly imperfect” crystal

$$r = 0.5 * (1 - e^{-2\Gamma}) e^{-\mu T}$$

$$= \frac{d_{\text{hkl}}}{t_{\text{ext}}^2} W_m \left( \theta - \frac{hc}{2d_{\text{hkl}}E} \right) f \left( \frac{t_0}{t_{\text{ext}}} \right)$$

Angular dist. of crystallites (typ. gauss.)  
 $m = \text{FWHM} = \text{mosaicity}$

$\theta_{\text{Bragg}}(E)$

Pendellösung

$f \propto 1, \quad t_0 \ll t_{\text{ext}}$   
 $f \propto 1/t_0, \quad t_0 \gg t_{\text{ext}}$

# Properties of the reflectivity curve

$$r = 0.5 * (1 - e^{-2\tau}) e^{-\mu T}$$

$$r = \frac{d_{hkl}}{t_{ext}^2} W_m \left( \theta - \frac{hc}{2d_{hkl}E} \right)$$

- Variation in  $\theta$  (same E)  $\Rightarrow$  “rocking curve”

Variation in E (same  $\theta$ )  $\Rightarrow$  spectral curve

}

$\sim$ equivalent if  $\Delta E \ll E$

$$\theta - \frac{hc}{2d_{hkl}E} = \Delta\theta$$
- If  $\frac{T}{m} \ll \frac{t_{ext}^2}{2d_{hkl}}$  :  $r \approx 2\tau e^{-\mu T} \Rightarrow \Delta\theta_{FWHM} \approx m$

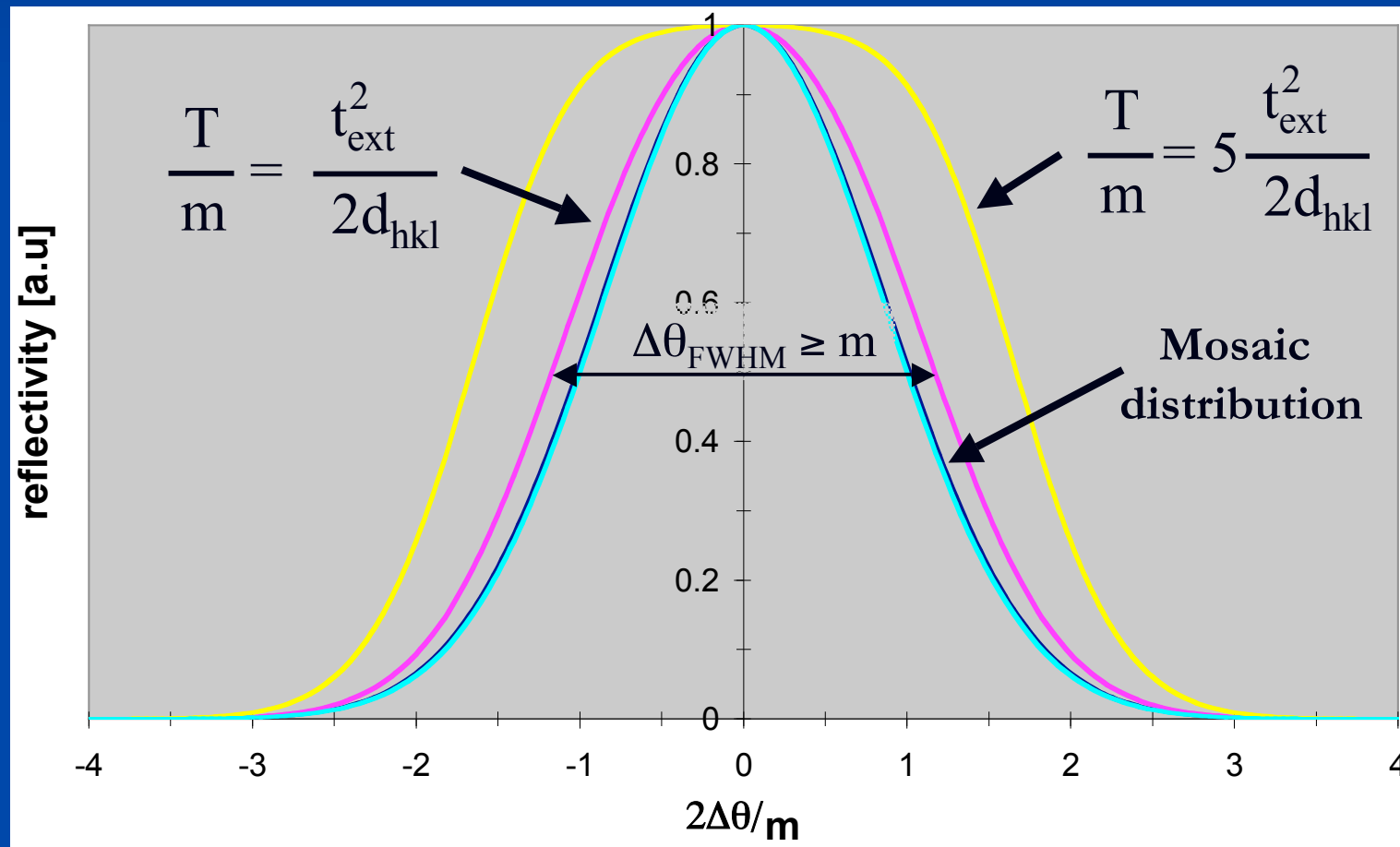
examples at 500 keV :

13.2 mm.arcmin<sup>-1</sup> for Ge<sub>111</sub>

4.5 mm.arcmin<sup>-1</sup> for Cu<sub>111</sub>

# Properties of the reflectivity curve

- Example for  $W_m$  gaussian (w/o absorption):



# Optimization of crystal properties

- Peak efficiency optimization for a given mosaicity (m):
  - mosaicity from crystal growth constraints, expected bandwidth, ...
  - maximization of peak efficiency (could be slightly different from the *integrated intensity* optimization..)
  - optimal thickness for a Gaussian distribution :

$$T_{\text{opt}} = \frac{\ln \left[ 1 + 0.939 \frac{2d_{\text{hkl}}}{mt_{\text{ext}}^2 \mu} \right]}{0.939 \frac{2d_{\text{hkl}}}{mt_{\text{ext}}^2}}$$

- $T_{\text{opt}} < 1/\mu$  (mean free path)  $\forall m$
- $T_{\text{opt}} \rightarrow 1/\mu$  for  $m\mu \gg t_{\text{ext}}^2/2d_{\text{hkl}}$
- $T_{\text{opt}} \rightarrow 0$  for  $m\mu \ll t_{\text{ext}}^2/2d_{\text{hkl}}$

# Optimization of crystal properties

- Significance optimization in the diffracted bandwidth
  - total diffracted flux :  $S \propto r_{\text{peak}} * \Delta E_{\text{FWHM}}$
  - ✓ background noise :  $N \propto \Delta E_{\text{FWHM}}$
  - significance :  $\_ \propto S/\sqrt{N} \propto r_{\text{peak}} * \sqrt{\Delta E_{\text{FWHM}}}$
  - maximization of  $\_$  w.r.t  $m$  (mosaicity) and  $T$  (thickness)
  - optimum for a Gaussian distribution :

$$m_{\text{opt}} = 0.2871 \frac{2d_{\text{hkl}}}{t_{\text{ext}}^2 \mu}$$

$$T_{\text{opt}} = \frac{1}{2\mu}$$

- $T_{\text{opt}}$  independent of crystal properties (half of the mean free path)

- $T_{\text{opt}}/m_{\text{opt}} = 1.74 t_{\text{ext}}^2 / 2d_{\text{hkl}}$

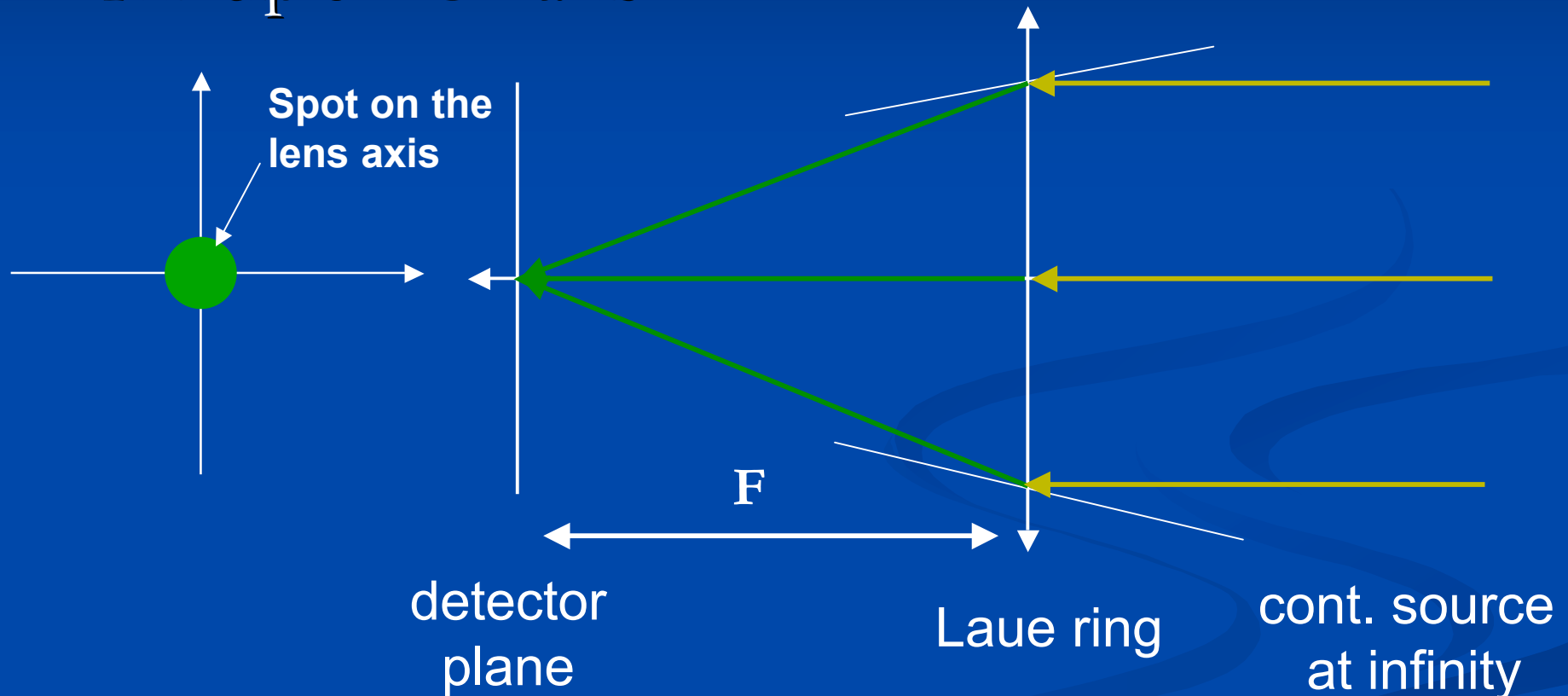
- Crystals thinner than peak maximization

# Optimization of crystal properties

- The real world is more complex ... :
  - Unable to separate photons from different rings with different materials/diffracting planes
  - The Darwin model is only an approximation
  - Constraints from crystal growth (homogeneity, quality, ...)
  - The E bandwidth(s) should be driven by the scientific objectives
  - Pointing accuracy  $\Rightarrow$  spectral broadening
  - mosaicity  $\Rightarrow$  beam divergence  $\Rightarrow$  loss of detectability and angular resolution on the detector plane
  - ...
- Global optimization required

# Off-axis response of a Laue ring

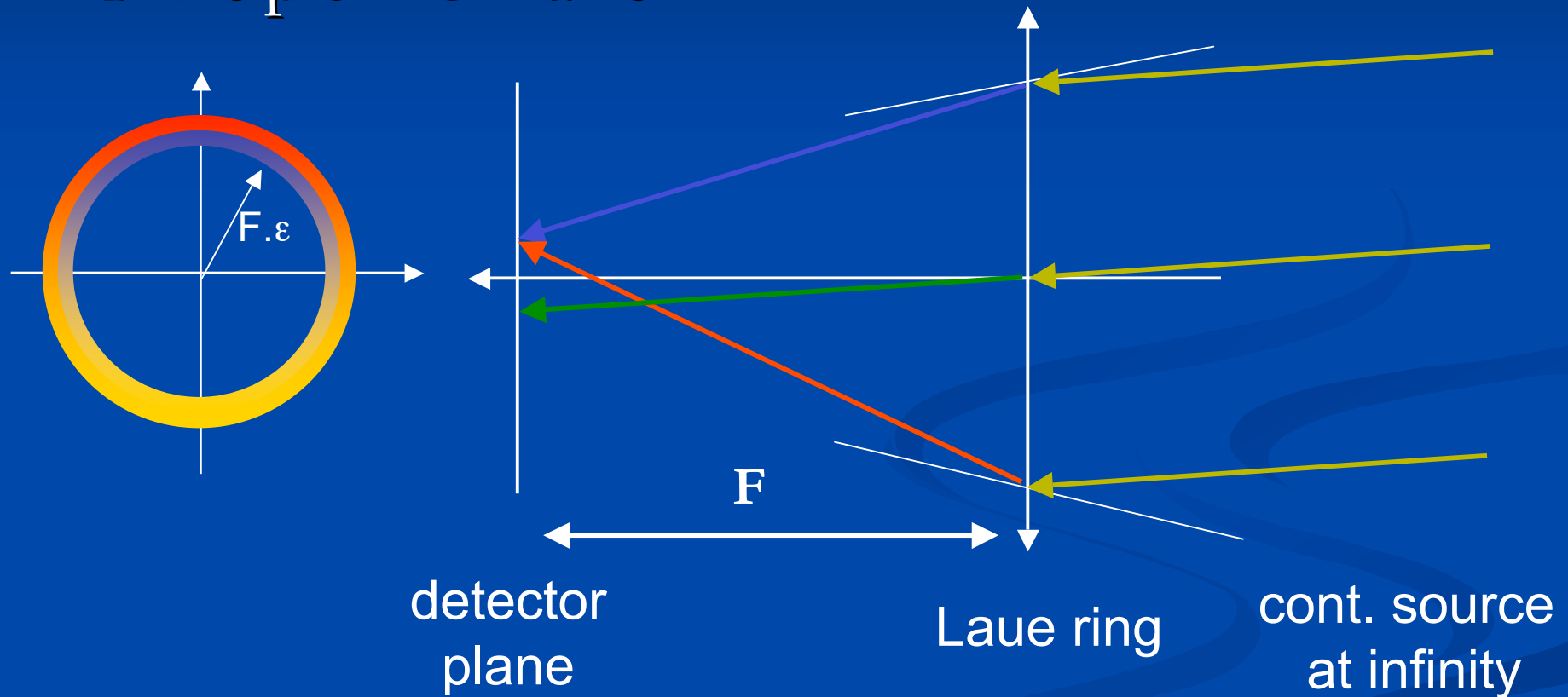
## ■ Principle – On axis





# Off-axis response of a Laue ring

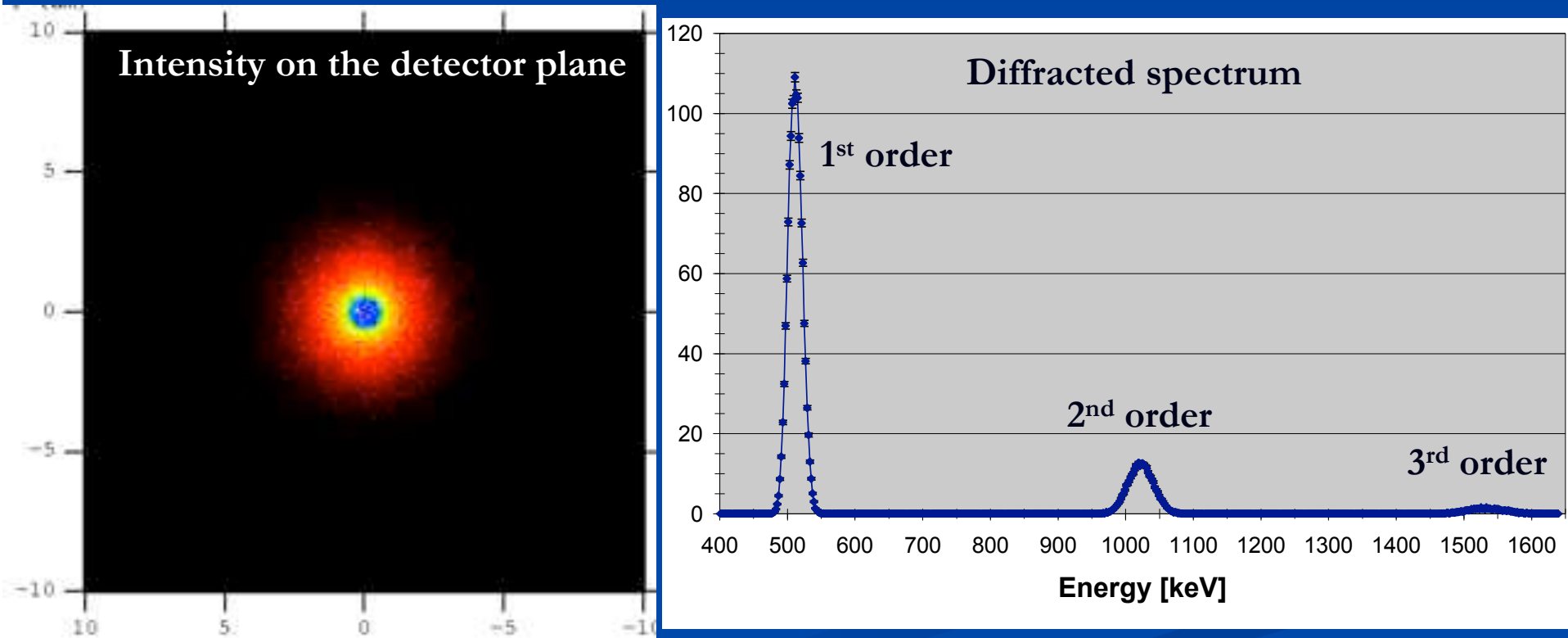
## ■ Principle – Off axis



⇒ Intensity ring on the detector plane with  $E_{\text{diff}}$  depending on the azimuth

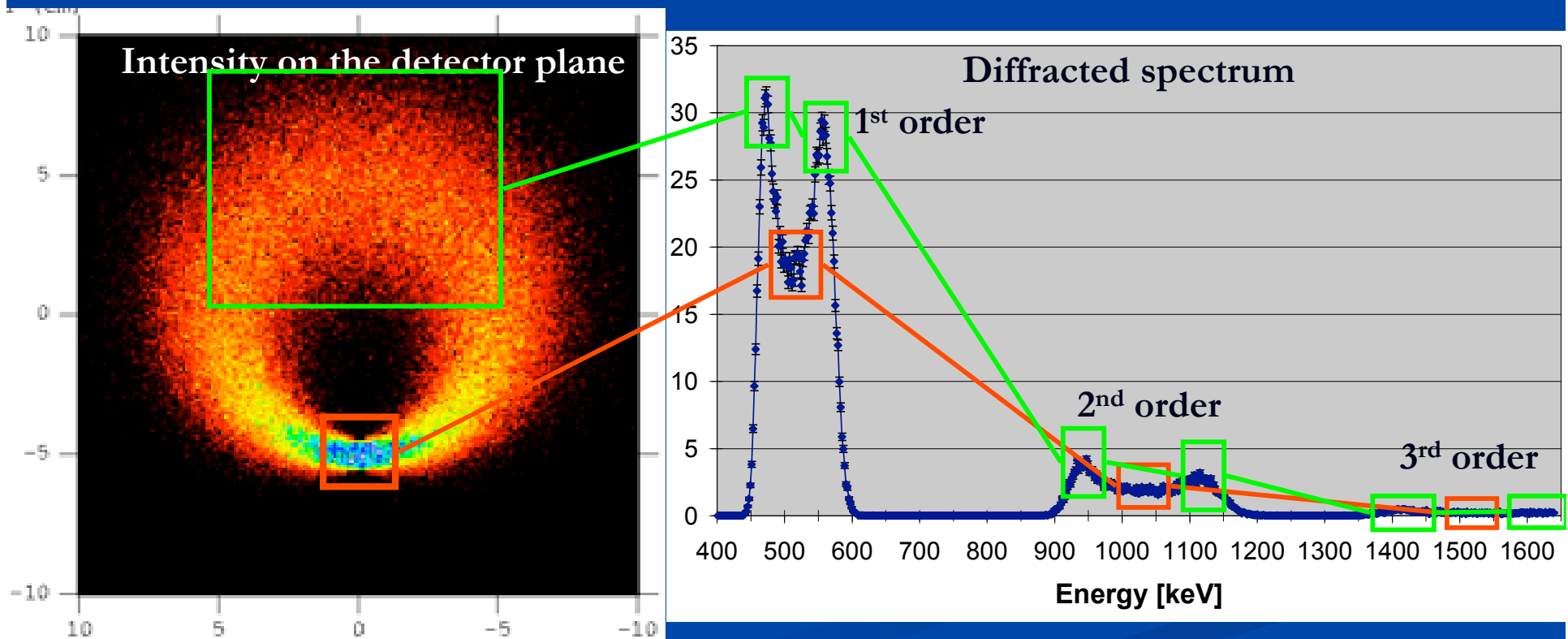
# Off-axis response of a Laue ring

- Monte Carlo simulation – On axis  
1  $\text{Cu}_{111}$  ring,  $R=1.00$  m,  $\Delta R=1.5$  cm,  $f=86$  m,  $E_{\infty}=511$  keV



# Off-axis response of a Laue ring

- Monte Carlo simulation – Off axis ( $\delta=1$  arcmin)  
1  $\text{Cu}_{111}$  ring,  $R=1.00$  m,  $\Delta R=1.5$  cm,  $f=86$  m,  $E_{\infty}=511$  keV



# Short vs. long focal length

## ■ Spectral response

$$E \propto \frac{F_{\infty}}{r \cdot d_{hkl}} \Rightarrow \Delta E \propto \frac{d_{hkl}}{E^2 \cdot F_{\infty}} \cdot \Delta r$$

← Rings spacing

$$\theta_B \propto \frac{1}{E \cdot d_{hkl}} \Rightarrow \Delta E \propto E^2 \cdot d_{hkl} \cdot \Delta \theta$$

← Mosaicity

### Short focal length :

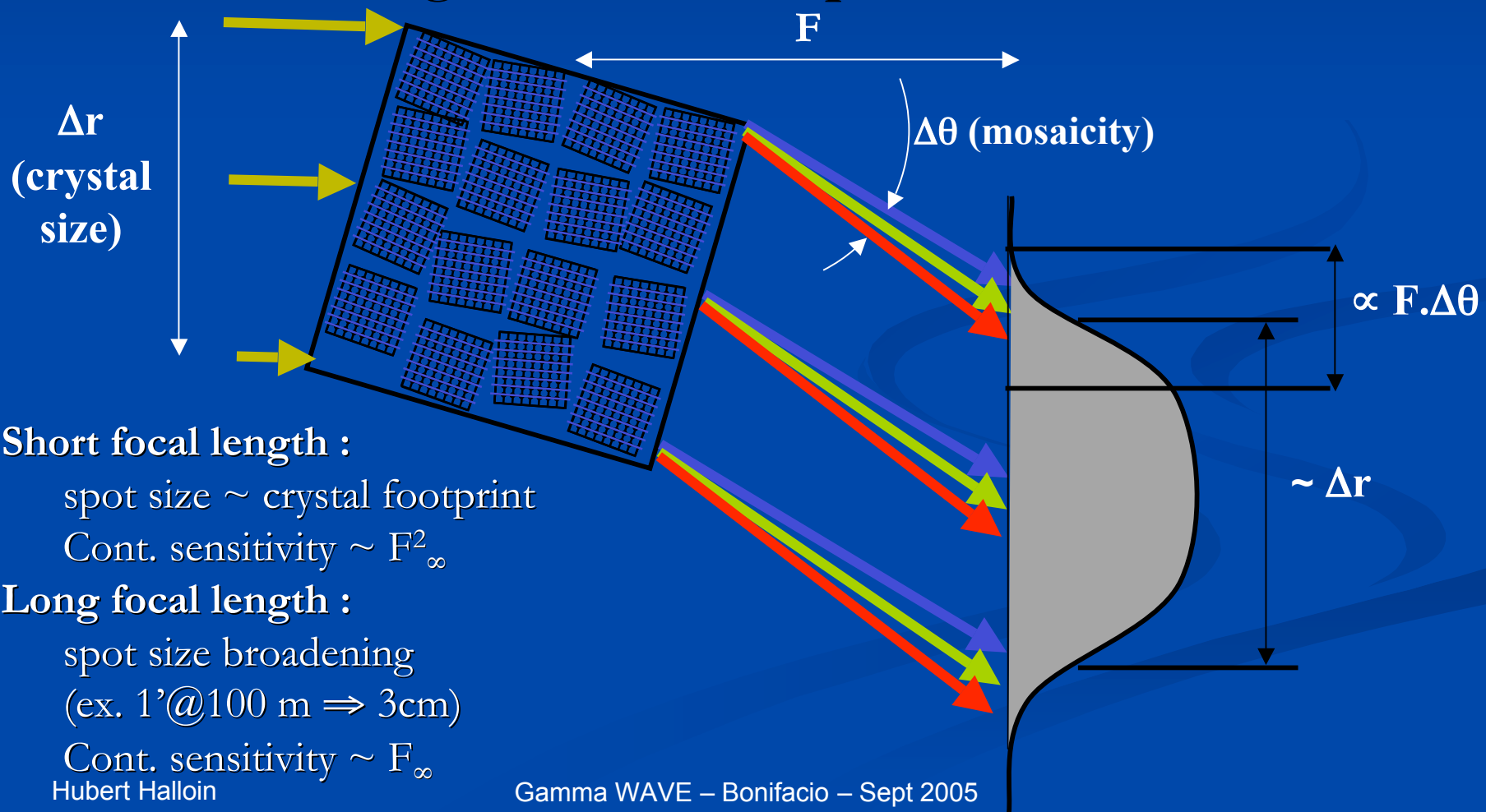
- No energy overlap from one ring to another
- ⇒ superposition of diffracted energies (⇒  $r \cdot d_{hkl} = \text{cst}$ )
- ⇒ monochromatic, low efficiency

### Long focal length :

- energy overlap possible between  $r$  and  $r + \Delta r$
- ⇒ juxtaposition of diffracted energies
- ⇒ broad energy bandwidth for a given  $d_{hkl}$ , use of the most efficient orders

# Short vs. long focal length

## ■ Beam divergence / focal spot size

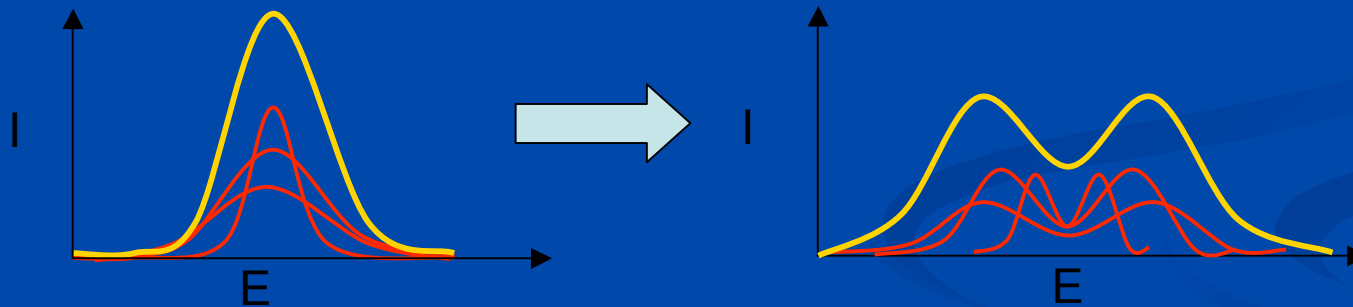


# Short vs. long focal length

## ■ Spectral response vs. pointing accuracy

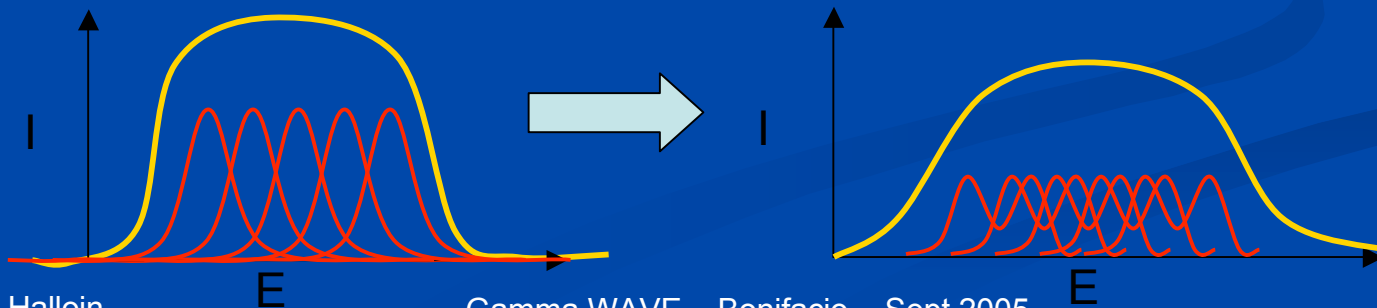
**Short focal length :**

strong spectral deformation for off-axis source



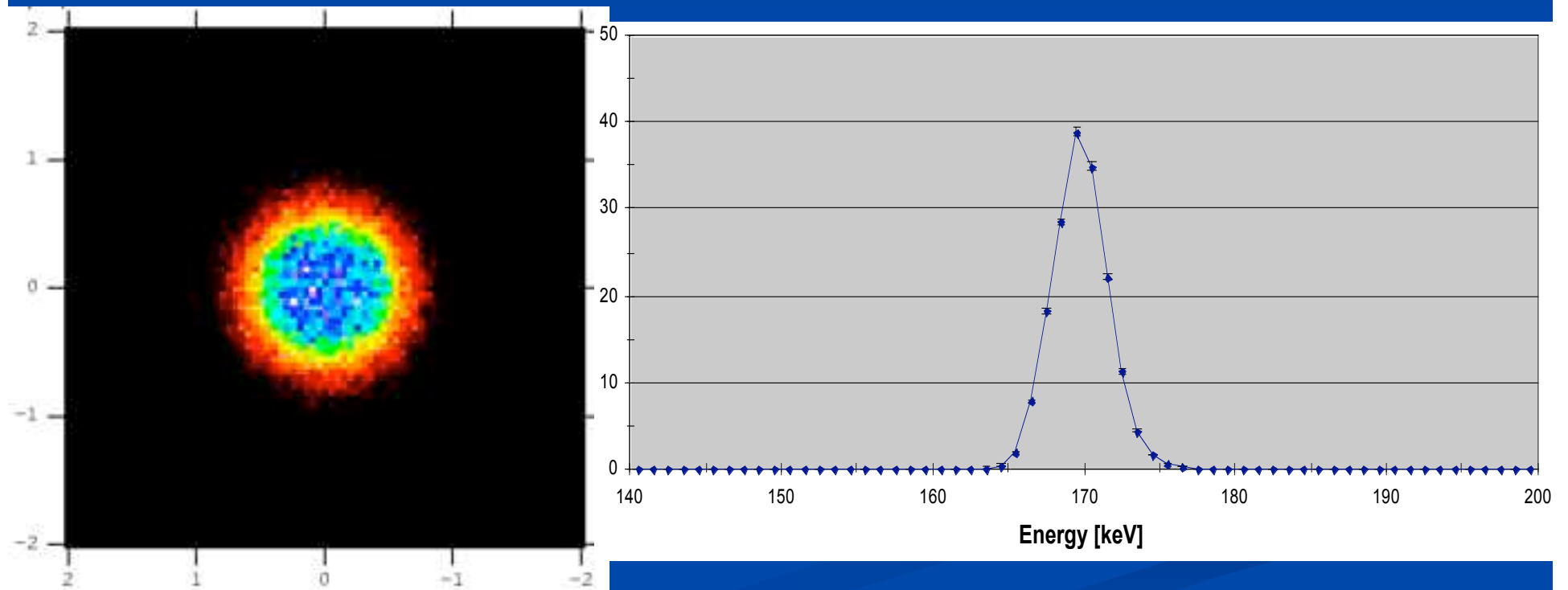
**Long focal length :**

energy overlap  $\Rightarrow$  small spectral deformation



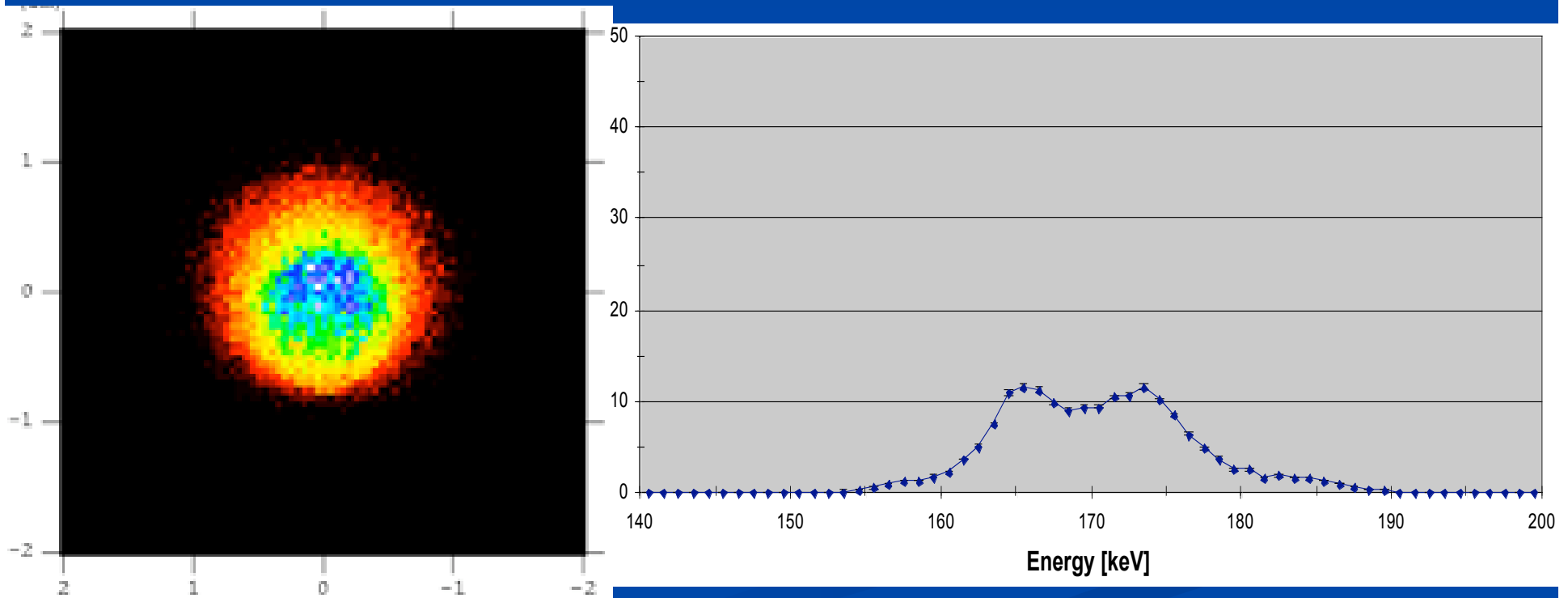
# Monte-Carlo simulations

- Short focal length – On axis



# Monte-Carlo simulations

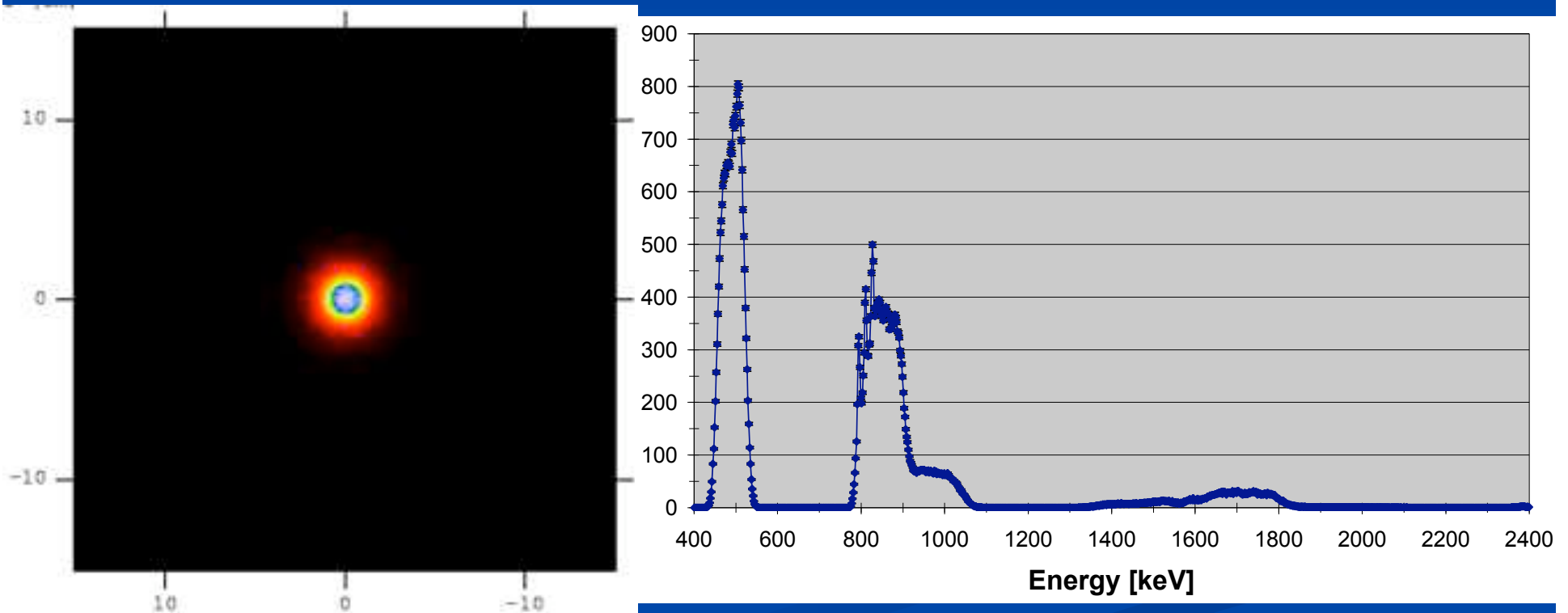
- Short focal length – Off axis (3 arcmin)





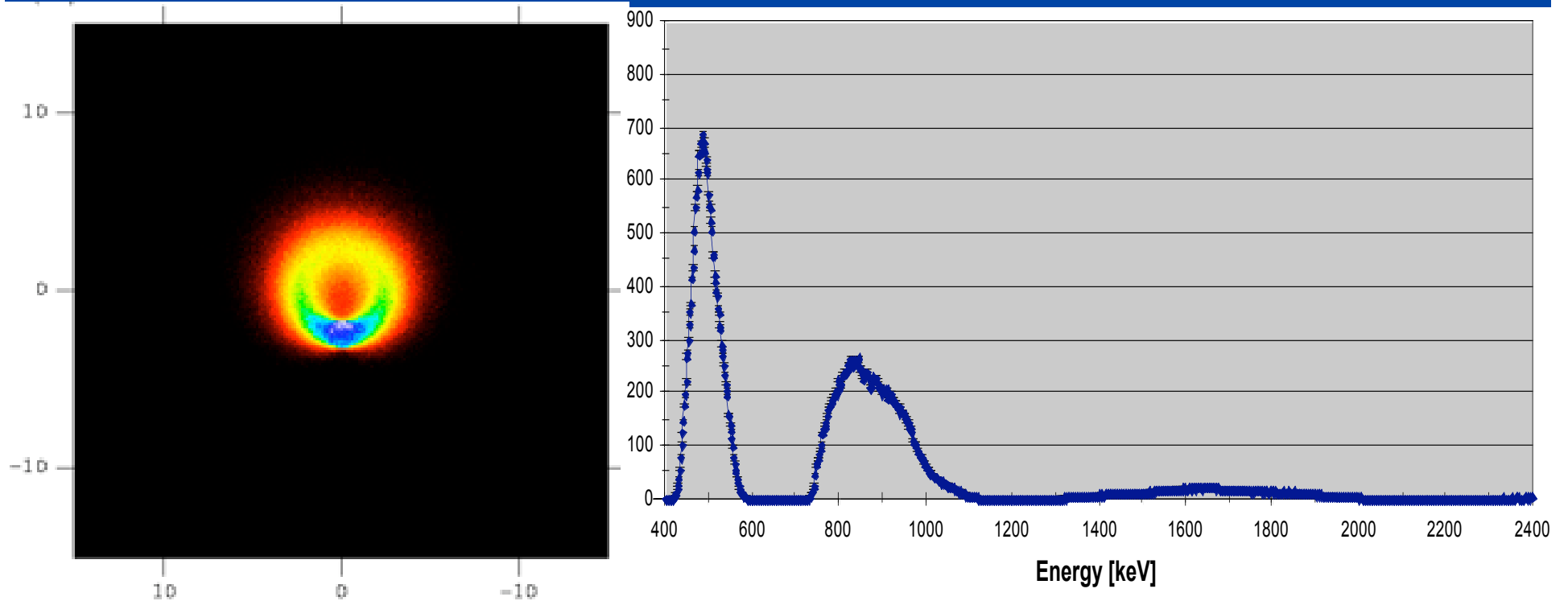
# Monte-Carlo simulations

- Long focal length – On axis



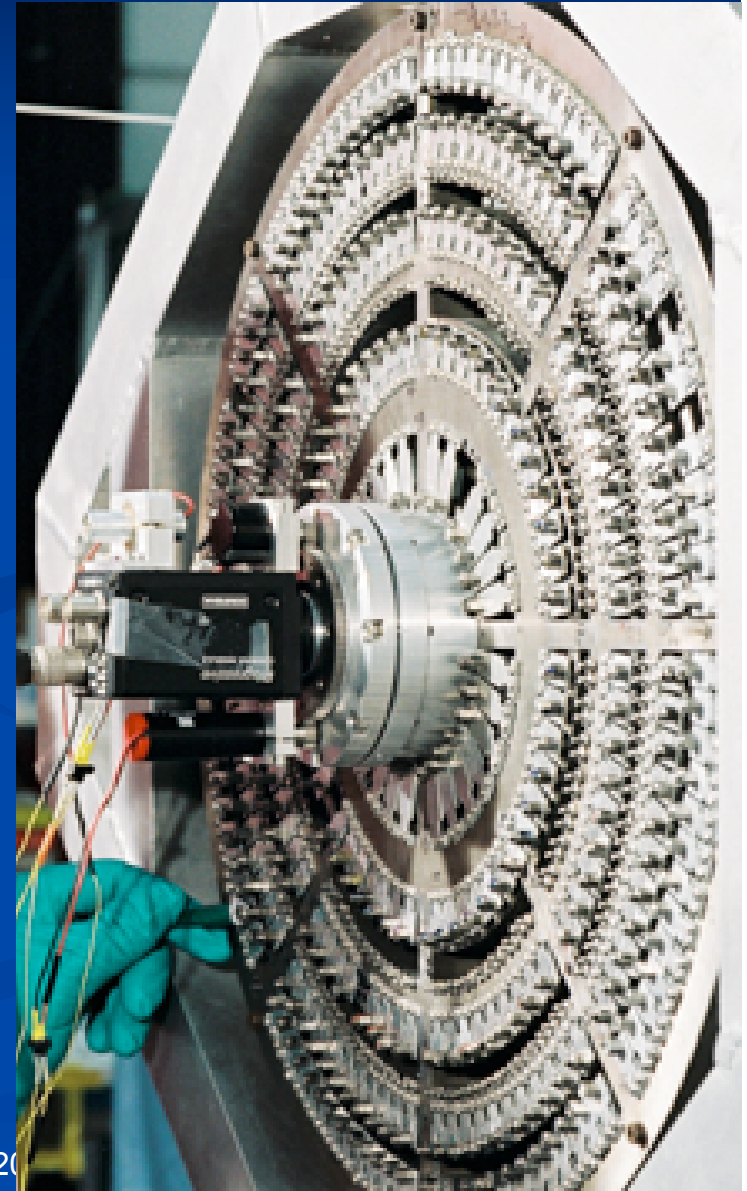
# Monte-Carlo simulations

- Long focal length – Off axis (1 arcmin)



# Simulations vs. experiment

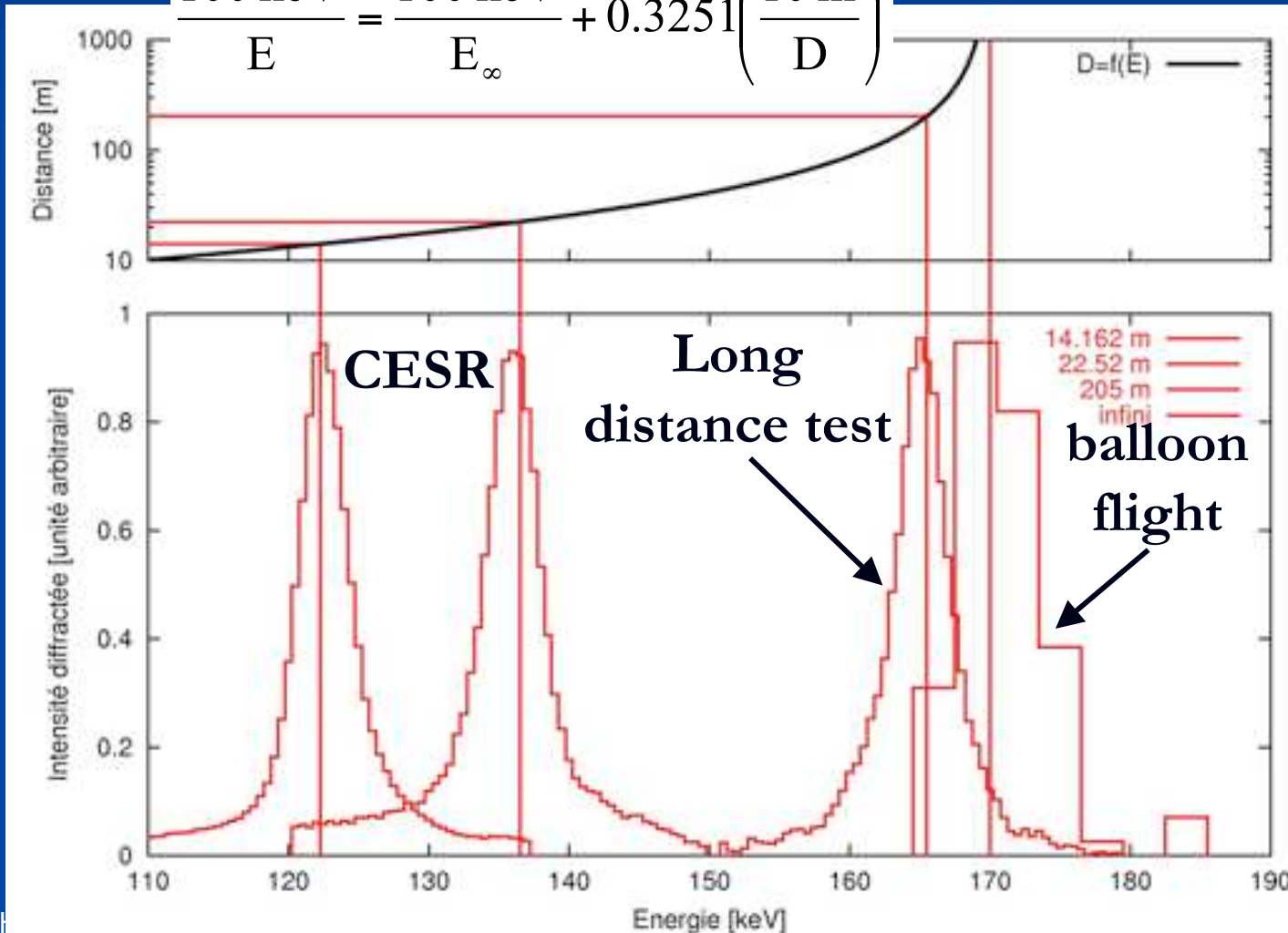
- Results from the CLAIRE project :
  - $E_{\infty} = 170 \text{ keV}$ ,  $F = 2.73 \text{ m}$
  - ✓ 563 Ge crystals,  $511 \text{ cm}^2$
  - ✓ 8 rings :
    - ✓  $R_{111} = 6.2 \text{ cm}$
    - ✓  $R_{440} = 20.2 \text{ cm}$
  - ✓ Crystals individually tuned and compared to the theory ( $\Rightarrow$  determination of  $m$  and  $t_0$ )
  - ✓ Crystals parameters used for simulation



# Simulations vs. experiment

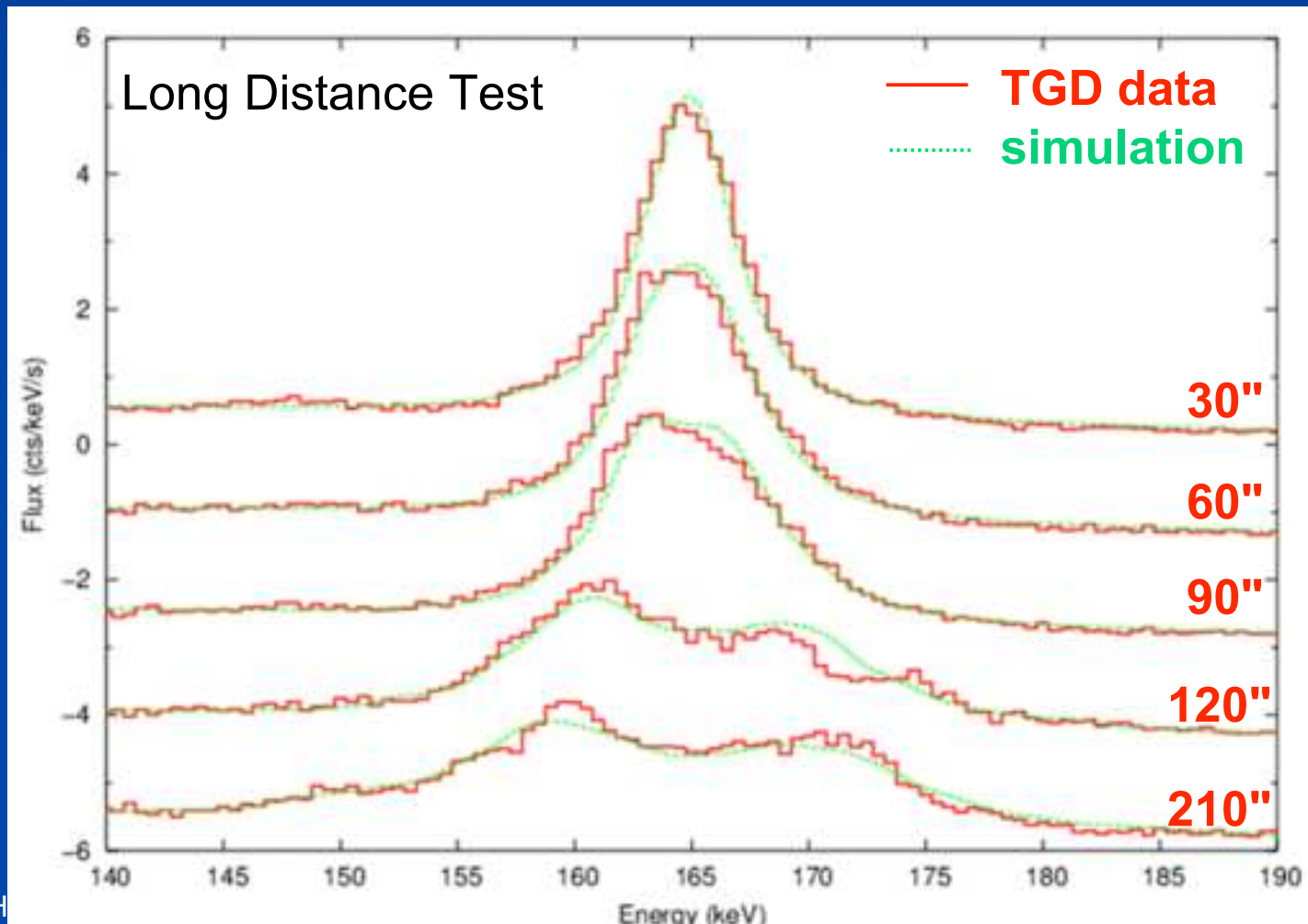
- Diffracted energy dependence on source distance :

$$\frac{100 \text{ keV}}{E} = \frac{100 \text{ keV}}{E_\infty} + 0.3251 \left( \frac{10 \text{ m}}{D} \right)$$



# Simulations vs. experiment

- Spectral response as a function of pointing



# Measurements & Simulations Summary

| Simulation / Measurement         | Integrated flux [ph/s] | Diffracted FWHM [keV] | Efficiency (FWHM=3keV) [%] | Notes                                      |
|----------------------------------|------------------------|-----------------------|----------------------------|--|
| D=Infinity, continuum@170 keV    | 312.9E0.6              | 4.1                   | 19.33E0.04                 | Ideal case (perfect crystals and pointing) |
|                                  | 143.7E0.3              | 3.3                   | 8.88E0.02                  | Perfect pointing<br>Real crystals          |
|                                  | 200E65-32              | 7.6                   | 12.5E4-2                   | Stratospheric flight                       |
| D=205 m, continuum@165 keV       | 138.0E0.3              | 3.2                   | 8.53E0.02                  | Perfect pointing<br>Real crystals          |
|                                  | 169.6E14               | 3.9                   | 8.5E0.7                    | Long distance test<br>Lorentzian peak      |
| D=14m<br><sup>57</sup> Co@122keV | 18.590E0.002           | -                     | 3.668E0.004                | Perfect pointing<br>Real crystals          |
|                                  | 16.1E0.6               | -                     | 3.17E0.12                  | Lab. measurement<br>⇒ 7.7E1% @infinity     |